

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Levine et al.**

Serial No.: **10/674,642**

Filed: **September 30, 2003**

For: **Method and Apparatus to  
Autonomically Count Instruction  
Execution for Applications**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§ Group Art Unit: **2192**

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Examiner: **Das, Chameli**

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Attorney Docket No.: **AUS920030484US1**

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By: Louise Fay

Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HERewith:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AL; and
- Our return postcard

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Levine et al.**

Serial No: **10/674,642**

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Title: **Method and Apparatus to  
Autonomically Count Instruction Execution  
for Applications**

Group Art Unit: **2192**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

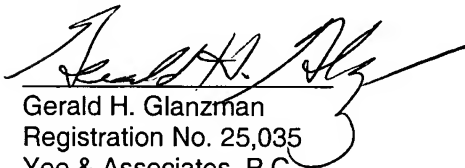
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

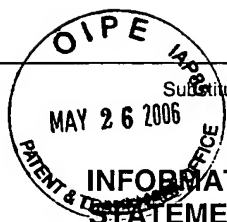
No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Gerald H. Glanzman', is written over a horizontal line.

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Complete if Known

Application Number	10/674,642
Filing Date	09/30/2003
First Named Inventor	Levine et al.
Examiner Name	Das, Chameli
Art Unit	2192
Attorney Docket No.	AUS920030484US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5051944	09-24-1991	Fetterolf et al.	
AB	5142634	08-25-1992	Fite et al.	
AC	5394529	02-28-1995	Brown, III et al.	
AD	6233679 B1	05-15-2001	Holmberg	
AE	5887159	03-23-1999	Burrows	
AF	6662295 B2	12-09-2003	Yamaura	
AG	5938760	08-17-1999	Levine et al.	
AH	6189072 B1	02-13-2001	Levine et al.	
AI	5276833	01-04-1994	Auvinen et al.	
AJ	5287481	02-15-1994	Lin	
AK	5537572	07-16-1996	Michelsen et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AL	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Caching, Coherence and Consistency, NY, pp. 1-14.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.